83-388

Office-Supreme Court, U.S. F 1 L E D

AUG 30 1983

No.

ALEXANDIRE STEVAS,

IN THE

## Supreme Court of the United States

OCTOBER TERM, 1983

E-Systems, Inc.,

and

LIBERTY MUTUAL INSURANCE COMPANY,

Petitioners,

V.

DIRECTOR, OFFICE OF WORKERS' COMPENSATION PROGRAMS, UNITED STATES DEPARTMENT OF LABOR,

and

HOWARD R. CLYMER.

Respondents.

## PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT

DAVID W. TOWNEND DEHAY & BLANCHARD 2300 South Tower Plaza of the Americas Dallas, Texas 75201 (214) 651-7000

Attorney for Petitioners

## QUESTIONS PRESENTED

- 1. Whether there is any statutory requirement under the Longshoremen's and Harbor Workers' Compensation Act for a claimant, who is permitted to recover on a theory that the employment conditions of diet and climate aggravated pre-existing diabetes and hypertension, to prove the occurrence of an accidental injury or occupational illness which arose out of and was caused by the employment. (33 U.S.C. §902(2)).
- 2. Whether the statutory presumption under 33 U.S.C. §920(a) can properly be applied in the claimant's favor, when there was substantial evidence of record before the Court below to rebut the statutory presumption and affirm the findings of the Administrative Law Judge and Benefits Review Board that the claimant's diabetes and hypertension did not arise from and were not caused by the employment and that the claimant failed to prove disability.
- 3. Whether the Court of Appeals may permit recovery based upon a claim of aggravation of pre-existing hypertension and diabetes when such claim was not asserted by the claimant who contended an occupational illness under the Act which was denied by the Administrative Law Judge and Benefits Review Board. (33 U.S.C. §902(2)).
- 4. Whether the Court of Appeals erred in reversing the findings and decision of the Administrative Law Judge that the claim under the Longshoremen's and Harbor Workers' Compensation Act was barred by the failure to comply with the thirty (30) day notice requirement and one year statute of limitations requirement under the Act, 33 U.S.C. §§912(a), 913(a).

## PARTIES BELOW

In the Court below, the Petitioners were Respondents. The Respondent Howard Clymer was Petitioner in the Court below and Respondent Director, Office of Workers' Compensation Programs, United States Department of Labor, was Respondent in the Court below.

#### TABLE OF CONTENTS Page QUESTIONS PRESENTED ..... Parties Below ..... OPINIONS AND JUDGMENT BELOW ..... JURISDICTION..... STATUTES INVOLVED..... STATEMENT OF THE CASE ..... REASONS FOR GRANTING THE WRIT 1. The decision of the Court of Appeals for the Fifth Circuit below is in direct conflict with the rule of law of U.S. Industries/Federal Sheet Metal, Inc. v. Director, Office of Workers' Compensation Programs, United States Department of Labor, , 102 S.Ct. 1312 (1982)..... II. The Court of Appeals for the Fifth Circuit has decided an important federal question which has not been, but should be, settled by this Court ...... 12 III. To insure the proper scope of judicial review in accordance with established Supreme Court precedent..... 15 CONCLUSION ..... 16 APPENDIX A — Court of Appeals Decision Denving Petition for Rehearing and Suggestion for Rehearing En Banc ... ..... A-1 APPENDIX B — Court of Appeals Decision ...... B-1 APPENDIX C — Benefits Review Board Decision...... C-1 APPENDIX D - Administrative Law Judge's Decision ...... D-1 APPENDIX E — Form LS/203 Claim for Compensation..... E-1

## TABLE OF AUTHORITIES

			4	Page
CASES:				
American 3 (2d Cir. 19	Stevedores, Inc. v	Salzano, 5	38 F.2d 933	14
Banks v. Ch (1965)	hicago Grain Trim	imers Ass'n,	390 U.S. 459	. 12
Del Vecchio	ov. Bowers, 296 L	J.S. 280 (193	5)	. 14
Diamond A (5th Cir. 19	M. Drilling Co. v. 978)	Marshall,		12,14
	dling Co. v. Swee 39), cert. denied,			11,13
	ardillo, 119 F.2d			
	Employers Liabilit 5 (D.C. Cir. 1933)		Corp.,	. 11
John W. M (2d Cir. 19	1cGrath Corp. v.	Hughes, 289	F.2d 403	. 13
Office of States Dep	ews Shipbuilding Workers' Comper partment of Labo denied 440 U.S.	r, 583 F.2d	rams, United 1273 (4th Cir.	
	Smith, Hinchman 59 (1965)			. 12
	. v. Heyde, 306 F.			13,14
	ago Coal & Dock ir. 1939), aff'd, 30			. 13
Southern Si	tevedoring Co. v.	Henderson,	175 F.2d	

## TABLE OF AUTHORITIES (Continued)

Page
Stark v. Lockheed Shipbuilding Co., 5 B.R.B.S. 186 B.R.B. Nos. 75-253 (Dec. 8, 1976)
U.S. Industries/Federal Sheet Metal, Inc. v. Director, Office of Workers' Compensation Programs, United States Department of Labor, U.S. , 102 S.Ct. 1312 (1982)
STATUTES:
District of Columbia Workers' Compensation Act: 33 D.C. Code 501 et. seq
Longshoremen's & Harbor Workers' Compensation Act: 33 U.S.C. §901, et. seq., as extended by the Defense Base Act, 42 U.S.C. §1651
Non-Apportioned Fund Instrumentalities Act: 5 U.S.C. §§8171-8173
Outer Continental Shelf Lands Act: 43 U.S.C. §§1331-1343

#### IN THE

## Supreme Court of the United States

OCTOBER TERM, 1983

E-Systems, Inc.,
and

LIBERTY MUTUAL INSURANCE COMPANY,

Petitioners.

V.

DIRECTOR, OFFICE OF WORKERS' COMPENSATION PROGRAMS, UNITED STATES DEPARTMENT OF LABOR, and

HOWARD R. CLYMER,

Respondents.

# PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT

Petitioners, E-SYSTEMS and LIBERTY MUTUAL INSURANCE COMPANY respectfully pray that a Writ of Certiorari issue to review the judgment and opinion of the United States Court of Appeals for the Fifth Circuit in this case.

#### OPINIONS AND JUDGMENT BELOW

The decision of the Court of Appeals, denying the Petition for Rehearing and Suggestion for Rehearing En Banc dated June 14, 1983 is set forth as Appendix A hereto. The Court of Appeals decision of December 2, 1982 is unreported and is attached hereto as Appendix B. The decision of the Benefits Review Board with dissent is set forth as Appendix C hereto. The decision of the Administrative Law Judge is attached hereto as Appendix D. The form LS/203 claim for compensation is attached hereto as Appendix E.

#### JURISDICTION

The decision of the Court of Appeals was entered on December 2, 1982. The Order of the Court of Appeals denying the Petition for Rehearing and Suggestion for Rehearing En Banc was entered on June 14, 1983. Jurisdiction of this Court is invoked under 28 U.S.C. §1254(1).

#### STATUTES INVOLVED

#### United States Code, Title 33

Longshoremen's and Harbor Workers' Compensation Act, 33 U.S.C. §901, as extended by the Defense Base Act, 42 U.S.C. §1651.

§902. Definitions

When used in this chapter -

(2) The term "injury" means accidental injury or death arising out of and in the course of employment, and such occupational disease or infection as arises out of such

employment or as naturally or unavoidably results from such accidental injury and includes any injury caused by the willful act of a third person directed against an employee because of his employment.

. . . .

(10) Disability means incapacity because of injury to earn the wages which the employee was receiving at the time of injury in the same or any other employment.

#### §903. Coverage

(a) Compensation shall be payable under this chapter in respect of disability or death of an employee, but only if the disability or death results from an injury . . .

### §912. Notice of Injury or Death

(a) Notice of an injury or death in respect of which compensation is payable under this chapter shall be given within thirty (30) days after the date of such injury or death, or thirty (30) days after the employee or beneficiary is aware or in the exercise of reasonable diligence should have been aware of a relationship between the injury or death and the employment. Such notice shall be given (1) to the deputy commissioner in the compensation district in which the injury occurred, and (2) to the employer.

#### §913. Filing of Claims

(a) Except as otherwise provided in this section, the right to compensation for disability or death under this chapter shall be barred unless a claim therefore is filed within one year after the injury or death. If payment of compensation has been made without an award on account of such injury or death, a claim may be filed within one year after the date of the last payment. Such claim shall be filed with the deputy commissioner in the compensation district in which such injury or death occurred. The time for filing a claim shall not begin to run until the employee or beneficiary is aware, or by the exercise of reasonable diligence should have been aware, of the relationship between the injury or death and the employment.

#### §920. Presumption

In any proceeding for the enforcement of a claim for compensation under this chapter it shall be presumed, in the absence of substantial evidence to the contrary —

- (a) That the claim comes within the provision of this chapter.
  - (b) That sufficient notice of such claim has been given.
- (c) That the injury was not occasioned solely by the intoxication of the injured employee.
- (d) That the injury was not occasioned by the willful intention of the injured employee to injure or kill himself or another.

### §921. Review of Compensation Orders

(b)(3) The Board shall be authorized to hear and determine appeals raising a substantial question of law or fact taken by any party in interest from decisions with respect to claims of employees under this chapter and the extensions thereof. The Board's orders shall be based upon the hearing record. The findings of fact and the decision under review by the Board shall be conclusive if supported by substantial evidence and the Record considered as a whole.

#### STATEMENT OF THE CASE

This is an occupational illness case — it being claimed that diet and climate caused, or in the alternative aggravated a pre-existing condition so as to cause, hypertension and diabetes.

Under its contract with the United States Government, in 1976 E-Systems was constructing the Sinai Field Mission in the Sinai. The weather in the Sinai was described as similar to Dallas, Texas and the housing facilities were heated and air conditioned. The meals were American style and were served in a cafeteria and the employees were free to eat whatever they chose, however, E-Systems did accommodate special diet requests and some employees cooked their own food.

Mr. Clymer arrived at the Sinai Field Mission in late June, 1976 as a heating and air conditioning mechanic and worked six days a week for three weeks and was then given one week of rest and recuperation (R & R). Mr. Clymer testified he started feeling exhausted and having headaches in August of 1976, and on December 7, 1976 he complained of numbness in his right leg. right arm and right side of his face and reported nausea and was given pills at the dispensary. He was hospitalized by Israeli doctors for one week in December, 1976, and released to his regular duties with no restrictions. He had a recurrence of these complaints in late spring of 1977 while at a Tel Aviv hotel on R & R, but did not see any doctors and had a similar attack again while on R&R in Tel Aviv while shopping in a department store on or about January 29, 1978. He was hospitalized in late January or early February of 1978 for approximately twelve (12) days and while the doctors felt he could return to work initially for half days Mr. Clymer decided to return to the United States to be examined by his own doctor. The Israeli doctor's report of February 9, 1978 regarding his hospitalization in Israel notes (1) essential hypertension, (2) strain of myocardium, and (3) cirrhosis of the liver and that Mr. Clymer was overweight and should stop drinking.

On or about April 13 or 14, 1978 Mr. Clymer first reported to his employer he had sustained an occupational illness, i.e., hypertension and diabetes while employed in the Sinai because of diet and weather. His claim for compensation based on an occupational illness (Form LS/203), dated August 16, 1978 was received by the Office of Workers' Compensation Programs—Houston on August 21, 1978. At no time did Mr. Clymer claim an aggravation of a pre-existing condition and in fact his claim for compensation stated that prior to his employment in Israel he was in good health. (See Appendix E.)

To support his claim of an occupational illness, to wit, hypertension and diabetes caused by diet and climate, at the hearing before the Administrative Law Judge (A.L.J.) Mr. Clymer submitted reports of Dr. Dunn. In his report of April 13, 1978, Dr. Dunn noted hypertension and possible diabetes and in a report of July 18, 1978 he notes that his hypertension and diabetes are controlled by diet and oral medication and he could be considered employable if a neurological evaluation proved negative. A subsequent neurological evaluation by Dr. Black indeed proved negative. In his report of August 7, 1978 Dr. Dunn expressed that the intermittent high blood pressure or hypertension was mostly normal, the diabetes mellitus should be totally controlled by diet and the episodes of numbness may be due to transient ischemic attacks, however, further evaluation was necessary. (See Appendix D.)

Petitioners offered as a witness at the hearing before the A.L.J., Dr. Robert L. North, Chief of Internal Medicine at Presbyterian Hospital in Dallas, Texas and a Board certified specialist in cardiovascular and internal medicine who had been practicing medicine since 1955. He not only examined Mr. Clymer but reviewed his medical history, and the examination proved essentially normal. Dr. North referred Mr. Clymer to Dr. Black for a neurological evaluation including a brain scan which proved normal. Dr. North conducted blood sugar tests, and obtained the results of glucose tolerance tests and blood pressure

readings from Dr. Dunn, and further reviewed Dr. Spitzberg's stress test which proved negative. Dr. North testified that his impression was that Mr. Clymer had some venous insufficiency and intermittent elevations of blood pressure and mild diabetes which were both controlled by diet and there was no organic explanation or basis for his complaints. He testified that Mr. Clymer's intermittent hypertension and mild diabetes were not job related or caused by the diet or climate, as claimed by Mr. Clymer, and that there was no physical impairment which would preclude his returning to employment; that diabetes is an abnormality in sugar metabolism most likely due to an insufficiency in the secretion of insulin and is most probably inherited: that hypertension and diabetes were common in a man of his age; that neither the geographic location, occupation or diet with E-Systems had anything to do with these conditions; that if Mr. Clymer staved in Texas and ate the same diet, in all likelihood he would have developed the same problems; that diabetes is not caused by the diet, and that exercise is good for one with diabetes. (See Appendix D.) There was evidence of some intoxication on the part of Mr. Clymer during his employment and Dr. North testified that excessive consumption of alcohol, just like obesity and age were factors which could contribute to hypertension or diabetes. He testified that diet or environmental factors may aggravate or have an effect upon diabetes or hypertension, but after extensive cross-examination, Dr. North stated that "there is no basis in medical probability that such conditions as heat, perspiration, the specific diet would be causes for ... hypertension or diabetes;" that "the location, the climate [i.e., the Sinai] . . . are purely accidental in this case and had he remained in South Texas and that had he been given the same diet, the same . . . problems with the IRS, however much he drank drinking the same, he would have developed the same problems at the same time. In that respect it is his destiny." Dr. North concluded that "... the geographic location and occupation in my opinion have nothing to do with the appearance of high blood pressure or diabetes mellitus in this case . . . " (See Appendix D.)

The case was tried before the Honorable David W. DiNardi. an Administrative Law Judge (A.L.J.) who found as a fact that Mr. Clymer had failed to prove an accidental injury or occupational illness caused by the employment under 33 U.S.C. §902(2). (See, Appendix D.) The A.L.J. also found as a fact that Mr. Clymer had failed to prove that he had sustained any disability, and that his claim was barred by failure to give his employer notice of the claim within thirty (30) days and failure to file his claim for compensation within one year from the date he knew or should have known that his problem was work related. (See, Appendix D.) The decision of the A.L.J. was affirmed by the Benefits Review Board, primarily upon the lack of a causal connection between the employment and the alleged occupational illness. (See Appendix C.) The Court of Appeals for the Fifth Circuit reversed the decisions of the Benefits Review Board and A.L.J., asserting that the A.L.J.'s decision was contrary to law because notwithstanding the A.L.J.'s finding of a lack of causal connection between the employment and the occupational illness, causality is not a necessary element in an aggravation case. The Court of Appeals held that although the A.L.J. accepted the testimony of Dr. North that Mr. Clymer's hypertension and diabetes were not caused by the employment, nevertheless since Dr. North testified that diet and environment may aggravate these conditions, this was sufficient to establish an aggravation of a pre-existing condition and thus a compensable condition under the Act. (See Appendix B.) The Court of Appeals also reversed the findings of the A.L.J. that the claimant failed to prove disability, reasoning that the Employer had not sufficiently established a lack of disability. Finally, the Court of Appeals reversed the A.L.J.'s decision that Mr. Clymer's claim was barred by failure to comply with the thirty (30) day notice and one year statute of limitations filing requirements under \$\$912a and 913a of the Act.

#### REASONS FOR GRANTING THE WRIT

I.

The Decision of the Court of Appeals for the Fifth Circuit Below is in Direct Conflict with the Rule of Law of U.S. Industries/Federal Sheet Metal, Inc. v. Director, Office of Workers' Compensation Programs, United States Department of Labor, U.S., 102 S.Ct. 1312 (1982)

The lower Court has implicity held that in an aggravation case a claimant is not required to prove that he sustained an accidental injury or occupational illness which arose out of and was caused by the employment, it being sufficient to be compensable if any condition of the employment had any aggravating effect on the diabetes and hypertension.

This holding of the lower Court of Appeals that causation is not a necessary requirement is contrary to the specific wording of the Act as well as this Honorable Court's decision in U.S. Industries/Federal Sheet Metal, Inc. v. Director. Office of Workers' Compensation Programs, United States Department of Labor, U.S. , 102 S.Ct. 1312 (1982), which held:

"Section 3(a) provides that '[C]ompensation shall be payable only under [the Act] in respect of disability . . . of an employee, but only if the disability . . . results from an injury'. 33 U.S.C. §903(a). Injury is defined as an 'accidental injury . . . arising out of and in the course of employment'. §902(2). Arising 'out of' and 'in the course of' employment are separate elements: the former refers to injury causation; the latter refers to the time, place, and circumstances of the injury. Not only must the injury have been caused by the employment, it must also have arisen during the employment." (Emphasis added.)

This case was decided after oral argument in the Court below and is not cited in the Fifth Circuit's opinion. The Court of Appeals does not appear to disturb the findings of a lack of causal connection between the employment and the illness, but has erased the requirement of a casual connection between the employment and the condition in an aggravation case. While Dr. North did not deny that it was possible that conditions such as heat and diet could have an effect upon diabetes and hypertension, Dr. North testified that "there is no basis in medical probability that such conditions as heat, perspiration, the specific diet would be causes for ... hypertension or diabetes" that "the location, the climate ... are purely accidental in this case and had he remained in South Texas and that had he been given the same diet, the same . . . problems with the IRS, however much he drank drinking the same, he would have developed the same problems at the same time. In that respect it is his destiny." Dr. North concluded that "... the geographic location and occupation in my opinion have nothing to do with the appearance of high blood pressure or diabetes mellitus in this case . . . "

The A.L.J. accepted this testimony of Dr. North, and the Benefits Review Board affirmed. Nevertheless, the lower Court of Appeals placing exaggerated emphasis upon the doctor's use of the word aggravation substituted its own judgment for that of the Benefits Review Board and the A.L.J. and found that Mr. Clymer had established that his employment had aggravated his condition and it was therefore compensable. Indeed, prior Fifth Circuit holdings have held that there is no requirement of proof of a causal connection between the employment and the injury, Southern Stevedoring & Co. v. Henderson, 175 F.2d 863, 866 (5th Cir. 1949), which was inferentially overruled by the recent pronouncement by the United States Supreme Court in U. S. Industries/Federal Sheet Metal, Inc. v. Director, Office of Workers' Compensation Programs, United States Department of Labor, supra.

The term "injury" under the Act has traditionally covered three types of incidents: First, an unexpected event which causes

damage or harm to the physical body, such as a fall, Southern Stevedoring & Co. v. Henderson, 175 F.2d 863 (5th Cir. 1949); an accidental injury which aggravates a pre-existing condition and combines with it so as to cause the new condition, Hoage v. Employers Liability Assurance Corp., 64 F.2d 715 (D.C. Cir. 1933); or an occupational illness which means an illness caused by hazards peculiar to the employment, Grain Handling Co. v. Sweeney, 102 F.2d 464 (2d Cir. 1939), cert. denied, 308 U.S. 70. All three types of injury require a causal connection with the employment. We are not here dealing with the first type of sudden event injury. Mr. Clymer's claim was that he had sustained an occupational illness, the third type of injury, which of course was rejected by the A.L.J. and the Benefits Review Board. The A.L.J. accepted the testimony of Dr. North and found as a fact that the claimant's hypertension and diabetes did not constitute an occupational illness, as these conditions were not caused by hazards peculiar to the employment. These conditions were ordinary diseases of life to which the general public is exposed. There was no effort by the claimant to establish an accidental injury, as his case was presented on a theory of an occupational illness. The Court of Appeals departed from U.S. Industries/Federal Sheet Metal, Inc. v. Director, Office of Workers' Compensation, United States Department of Labor, supra in two respects: First, as previously argued, in failing to require the claimant to prove an accidental injury or occupational illness caused by the employment; and second, in permitting a recovery based upon a theory of an aggravation of a pre-existing condition, when the claim before the A.L.J. and Benefits Review Board was based upon an occupational illness. Regarding the second point the lower Court permitted recovery on a theory not advanced by the claimant. There was never any contention of pre-existing hypertension or diabetes by Mr. Clymer, indeed the claimant denied any such pre-existing problems in his testimony before the A.L.J. Nevertheless. contrary to U.S. Industries/Federal Sheet Metal, Inc. v. Director, Office of Workers' Compensation, United States Department of Labor, supra, the Court permitted a recovery on a claim - aggravation of a pre-existing condition - which was never advanced by the claimant, who always based his claim on an occupational illness.

# The Court of Appeals for the Fifth Circuit Has Decided an Important Federal Question Which Has Not Been, But Should Be, Settled by This Court

This case is of importance to the administration of numerous claims under the Longshoremen's and Harbor Workers' Compensation Act (33 U.S.C. §901 et. seq.) and its extensions. [i.e., Defense Base Act. 42 U.S.C. §§1651-1654; Non-Appropriated Fund Instrumentalities Act, 5 U.S.C. §§18171-8173; Outer Continental Shelf Lands Act, 43 U.S.C. §§1331-1343, and the District of Columbia Workers' Compensation Act, 33 D.C. Code 501, et. seq.]

The interpretation by the lower Court of "injury," which heretofore was limited to an accidental injury or occupational illness arising out of and caused by the employment, will bring workers' compensation into traditional nonoccupational and ordinary illnesses or injuries such as colds, viruses, heart attacks and death from conditions of aging. Under the lower Court's decision, so long as any condition of the work has any effect upon such ordinary diseases, an employee can demonstrate a compensable injury without any proof of either an accidental injury or occupational illness caused by the employment. It may be an aggravation for an employee with a cold to walk to a flight of stairs at work, but does this mean that to recover in an aggravation case a claimant does not have to prove an accidental injury or occupational illness caused by the employment? (33 U.S.C. §902(2)).

The important federal question raised herein should be resolved by this Court. A court must affirm a decision if the facts found by the A.L.J. are supported by substantial evidence in the record considered as a whole, if they are not irrational and if the decision is in accordance with the law. See, Banks v. Chicago Grain Trimmers Ass'n, 390 U.S. 459 (1965); O'Keefe v. Smith, Hinchman & Grylls Associates, 380 U.S. 359 (1965); Diamond M. Drilling Co. v. Marshall, 577 F.2d 1003, 1005 (5th Cir. 1978).

The fact finder, i.e., the A.L.J., is to determine the credibility of medical witnesses, John W. McGrath Corp. v. Hughes, 289 F.2d 403 (2d Cir. 1961), and the fact finder may accept or reject testimony. Perini Corp. v. Heyde, 306 F.Supp. 1321 (D.R.I. 1969).

The reviewing court may not reweigh evidence, but only determine if there is substantial evidence supporting the findings. South Chicago Coal & Dock Co. v. Bassett, 104 F.2d 522 (7th Cir. 1939); aff'd, 309 U.S. 251 (1940). The court may not substitute its own judgment for that of the fact finder as to work relatedness, if the finding is supported by substantial evidence. Newport News Shipbuilding and Drydock Co. v. Director, Office of Workers' Compensation Programs, United States Department of Labor, 583 F.2d 1273 (4th Cir. 1978), cert. denied, 440 U.S. 915 (1979). In reversing the decisions of the A.L.J. and Benefits Review Board, it appears the Fifth Circuit does not disturb the findings of the A.L.J. and the Benefits Review Board that Mr. Clymer's diabetes and hypertension were not caused by an on-the-job accidental injury or occupational illness. If this is so, its opinion is contrary to U.S. Industries/Federal Sheet Metal. Inc. v. Director. Office of Workers' Compensation Programs, United States Department of Labor, supra. If the lower Court's opinion is construed as reversing the findings of the A.L.J. and Benefits Review Board "on causality" because the diabetes and hypertension may have been "aggravated" by the employment, then it is submitted the Court of Appeals improperly substituted its judgment for that of the fact finder. There was no evidence in this case of an accidental on-the-job injury which aggravated the claimant's diabetes or hypertension nor was there any evidence sufficient to establish an occupational illness, which requires exposure to a hazard peculiar to the employment. Grain Handling Co. v. Sweeney, 102 F.2d 464 (2d Cir. 1939), cert denied, 308 U.S. 70. The lower Court has erroneously utilized the "aggravation" evidence to change the claimant's theory, reweigh the evidence and substitute its judgment for that of the fact finder. Groom v. Cardillo, 119 F.2d 697, 698 (D.C. Cir. 1941). The A.L.J. applied every presumption in favor of the claimant but found as a fact his diabetes and hypertension were not caused by the employment nor was Mr. Clymer exposed to any hazards more peculiar than those ordinary hazards of life to which the public is exposed. The decision is supported by substantial evidence, to wit, the testimony of Dr. North which the A.L.J. accepted. When a lower court improperly substitutes its judgment for that of the fact finder, this Court has reinstated the decision of the Benefits Review Board and A.L.J. U.S. Industries Sheet Metal Inc. v. Director, Office of Workers' Compensation, United States Department of Labor, supra; Del Vecchio v. Bowers, 296 U.S. 280 (1935).

The Court of Appeals also reversed the A.L.J.'s findings that the claimant had failed to prove disability. The A.L.J. relying upon the medical opinions of Dr. North, Dr. Black, Dr. Spitzberg, and even the claimant's doctor, found that since the claimant had failed to demonstrate any injury or illness induced disability, the burden had not shifted to the employer to demonstrate the availability of work. See, Diamond M. Drilling Co. v. Marshall, 577 F.2d 1003 (5th Cir. 1978), which holds that ... "[O]nce the claimant demonstrates his disability ... " the burden shifts to the employer to demonstrate the availability of work that the injured employee can perform. Id. at 1007. This finding that Mr. Clymer had failed to demonstrate any disability is supported by substantial medical evidence of at least three doctors, yet the lower court held that since there was no showing by the employer of available work and wages which could be earned, the findings of no disability should be set aside. This is an impermissible shifting of the presumption which has traditionally required the claimant prove a compensable injury caused some disability before the burden of showing the availability of work would shift to the employer. See, Diamond M. Drilling Co. v. Marshall, supra: American Stevedores, Inc. v. Salzano, 538 F.2d 933, 935-36 (2d Cir. 1976); Perini Corp. v. Hevde. 306 F.Supp. 1321 (D.R.I. 1969).

#### III.

#### To Insure Proper Scope of Judicial Review in Accordance with Established Court Precedent

Although the lower Court acknowledged its scope of review was limited to a determination of whether or not there was substantial evidence in the record as a whole to support the A.L.J.'s conclusion that Mr. Clymer did not sustain an accidental injury or occupational illness or disability caused by the employment, as previously submitted, it failed to properly apply this standard of review and substituted its own judgment for that the trier of fact. The panel also set aside the A.L.J.'s findings as to work relatedness which it is bound to accept if it is consistent with law and support by substantial evidence in the record and considered as a whole.

Finally the Court of Appeals erred in reversing the A.L.J.'s findings that the claim was barred by the thirty (30) day notice and one year statute of limitation requirements under the Act. (33 U.S.C. §§912a, 913a.) The A.L.J. found as a fact that Mr. Clymer was told by an Israeli doctor that he had hypertension on December 7, 1976 and since his employer was not notified of the claim until mid-April, 1978, and the claim was not filed until August 21, 1978, it was barred under §§912(a) and 913(a) of the Act. The lower court reversed, holding that since Mr. Clymer was not told by his doctor, Dr. Dunn, until April, 1978, his condition was potentially work related, his claim was not barred.

While the Benefits Review Board has typically required that these limitation periods will not commence until the employee is told by a doctor his condition is work related, Stark v. Lockheed Shipbuilding Co., 5 B.R.B.S. 186, B.R.B. Nos. 75-253 (December 8, 1976), the legal test is when the employee knew or should have known the condition was work related. The lower Court has effectively held the test in all cases is when was the employee told by a doctor his condition was work related. This is not the test enacted by Congress and the lower Court erred in

requiring such evidence and reversing these findings of the A.L.J. that the claim was barred for failure to comply with §§912(a) and 913(a) of the Act.

#### CONCLUSION

For all of the reasons stated herein, it is respectfully submitted that this Petition for a Writ of Certiorari should be granted.

Respectfully submitted,

By:

DAVID W. TOWNEND

of

DEHAY & BLANCHARD 2300 South Tower Plaza of the Americas Dallas, Texas 75201 214-651-7000

Attorney for Petitioners

#### CERTIFICATE OF SERVICE

This is to certify that three true and correct copies of the above and foregoing Petition for a Writ of Certiorari to the United States Court of Appeal for the Fifth Circuit has been mailed to all attorney of record and to the Solicitor General, Department of Justice, Washington, D.C., on this Z oday of August, 1983.

DAVID W. TOWNEND

## APPENDIX A

		_
	NO. 81-4310	
HOWARD	D R. CLYMER,	U.S. COURT
	Petitioner,	
	versus	JUN :

Respondents.

DIRECTOR, OFFICE OF WORKERS' COMPENSATION PROGRAMS, UNITED STATES DEPARTMENT OF LABOR,

Petition for Review of an Order of the Benefits Review Board

OH F21110H E	AU UPHPULLIN	3 1410 30	44541441	AL CONDUCTOR	aut arate
(Opinion_Dec	ember 2, 198	2 , 5	Cir., 198	2,	. 2d) .
			983 )		
Before BROWN,	GOLDBERG at	d POLITZ	, Circuit	Judges.	

#### PER CURIAM:

- ( ) The Petition for Rehearing is DENIED and no member of this panel nor Judge in regular active service on the Court having requested that the Court be polled on rehearing en banc, (Rule 35 Pederal Rules of Appellate Procedure; Local Fifth Circuit Rule 16) the Suggestion for Rehearing En Banc is DENIED.
- ( ) The Petition for Nehearing is DENIED and the Court having been polled at the request of one of the members of the Court and a majority of the Circuit Judges who are in regular active service not having voted in favor of it, (Rule 35 Federal Rules of Appellate Procedure: Local Fifth Circuit Rule 16) the Suggestion for Rehearing En Banc is also DENIED.
- ( ) A member of the Court in active service having requested a poll on the reconsideration of this cause en banc, and a majority of the judges in active service not having voted in favor of it, rehearing en banc is DENIED.

  CLERK'S NOTE:

ENTERED FOR THE COURT:

SEE RULE 41 FRAP AND LOCAL RULE 17 FOR STAY OF THE

MANDATE

ironit Judge

REHG-6

OF APPEALS E D 4 1983

ANUCHEAL CLER

## APPENDIX B

## IN THE UNITED STATES COURT OF APPEALS FOR THE FIFTH CIRCUIT

No. 81-4310

HOWARD R. CLYMER,

Petitioner.

versus

E-SYSTEMS, Employer, and LIBERTY MUTUAL INSURANCE COMPANY, Insurance Carrier, and DIRECTOR, OFFICE OF WORKERS' COMPENSATION PROGRAMS, UNITED STATES DEPARTMENT OF LABOR,

Respondents.

Petition for Review of an Order of the Benefits Review Board

(DECEMBER 2, 1982)

Before BROWN, GOLDBERG and POLITZ, Circuit Judges.

POLITZ. Circuit Judge:

Howard R. Clymer seeks review of a decision by the Benefits Review Board (BRB) of the United States Department of Labor under the Longshoremen's and Harbor Workers Compensation Act (LHWCA), 33 U.S.C. \$ 901, as extended by the Defense Base Act, 42 U.S.C. \$ 1651. The BRB, one member dissenting, upheld an Administrative Law Judge's (ALJ) denial of benefits, opining that the evidence failed to establish a causal connection between Clymer's employment and his physical condition. Finding an erroneous application of the LHWCA, we reverse the denial of benefits and, because of insufficient factual findings on the extent of disability, remand for further proceedings.

#### Context Facts

In June 1976, Clymer, then 47 years old, was employed by E-Systems, Inc. as a heating and air-conditioning mechanic for work in the Sinai Field Mission, an early warning system located in the Gideon-Mitla passes in the Sinai Peninsula. 1 Clymer then suffered no symptomatic medical problems, and an employment physical apparently disclosed none.

Upon his arrival in Israel, Clymer began working substantially, if not principally, on tasks other than those typically associated with heating and air-conditioning work. His initial assignments involved manual labor and construction work. Clymer's work attitude and efforts met with the approval of his superiors who commended him. He apparently worked hard.

In August 1976 Clymer began to experience difficulties. The first manifestation was a chronic sense of exhaustion. By the following December he suffered nausea and the onset of numbness of the right leg, right arm and right side of his face. He reported to the paramedics who gave him pills. He was subsequently hospitalized and his condition was diagnosed as transient hypertension with possible cerebral ischemia. He returned to work but continued to be troubled by intermittent headaches and bouts of nausea. In May 1977 Clymer returned home for a visit but sought no medical treatment. In June 1977 he went back to the Sinai after signing on for a second year of duty.

<sup>1.</sup> See Dobyns v. E-Systems, Inc., 667 F.2d 1219 (5th Cir. 1982).

In January 1978, Clymer was hospitalized for 12 days. The following month he returned home to seek medical treatment. His employment by E-Systems was terminated in March 1978.

In August 1978 Clymer filed for benefits under the LHWCA. In denying benefits the ALJ concluded that Clymer had failed to provide his employer with timely notice of the claim as required by 33 U.S.C. 5 912(a), failed to file his claim within one year as required by 33 U.S.C. 5 913(a), and failed to establish a causal link between his employment and the disability asserted.

The evidence before the ALJ included reports from Dr. John Dunn, Clymer's family physician, together with reports or testimony from other doctors to whom Clymer was directed for examination. Dr. Dunn stated that Clymer was disabled due to hypertension and diabetes mellitus. He concluded that work conditions, environment and diet were contributing causes of these difficulties.

Dunn's medical opinion was challenged in part by Dr. Robert L. North, a physician with impressive professional credentials. Dr. North agreed with the diagnosis of hypertension and diabetes, but testified that neither disorder was caused by Clymer's work in the Sinai, climatic conditions there, or diet. Dr. North was of the opinion that Clymer would likely have suffered the same debilitating difficulties even if he had not left Dallas. He believed the condition to be controllable through diet and medication and found Clymer's thus controlled.

The ALJ credited Dr. North's testimony in finding that E-Systems had successfully rebutted the Section 20(a) presumption of causality<sup>2</sup> and in finding that Clymer's condition was not aggravated by employment related factors. The BRB affirmed, addressing only the aggravation issue. It concluded that Dr. North's testimony constituted substantial evidence to rebut the statutory presumption. The dissenting member was of the opinion that the employer had not rebutted the statutory presumption of compensability and that Clymer's diet and work environment aggravated a pre-existing condition.

#### Causality and Aggravation

The scope of our review is limited -- we simply determine whether there is substantial evidence on the record as a whole to support the ALJ's conclusion that the employment environment did not aggravate Clymer's condition. That measure of evidence is defined as "'a substantial basis of fact from which the fact in issue can be reasonably inferred . . .'" Diamond M. Drilling Co. v. Marshall, 577 F.2d 1003, 1006 (5th Cir. 1978) (quoting NLRE v. Columbian Enameling and Stamping Co., 306 U.S. 292, 299-300 (1939)). See Fulks v. Avondale Shipyards, Inc., 637 F.2d 1008 (5th Cir. 1981), cert. denied, 102 S.Ct. 633

The statutory presumption of conpensability, which
appears at section 20(a) of the Act, 33 U.S.C. 5 920(a),
provides as follows:

In any proceeding for the enforcement of a claim for compensation under this Act it shall be presumed in the absence of substantial evidence to the contrary --

<sup>(</sup>a) that the claim comes within the provisions of this Act.

This presumption "applies as much to the nexus between an employees' malady and his employment activities, as it does to any other aspect of a claim." Swinton v. Kelly, 554 F.2d 1075, 1082 (D.C. Cir.), cert. denied, 429 U.S. 820 (1976).

(1982); Todd Shipyards, Inc. v. Fraley, 592 F.2d 805 (5th Cir. 1979); Watson v. Gulf Stevedoring Corp., 400 F.2d 649 (5th Cir. 1988), cert. denied, 394 U.S. 976 (1969).

We are persuaded that the conclusion of the ALJ, upheld by the BRB, on the issue of aggravation is not supported by the quantum of evidence required. Although we have examined the entire record, we need not look beyond the testimony of Dr. North, upon which the ALJ principally relied, in overturning the BRB's decision. Dr. North testified that Clymer's medical problems, hypertension and diabetes, were "things which may be aggravated by dietary and even environmental influences," although they would not be caused by such. There is no contrary evidence in the record. The medical opinion as to aggravation of a pre-existing condition is thus uncontradicted.

If an employee is able to show that employment conditions aggravated a pre-existing condition resulting in disability, the LHWCA provides benefits commensurate with the disability. Fulks v. Avondale Shippards, Inc.; Newport News Shipbuilding & Dry Dock Co. v. Director, 583 F.2d 1273 (4th Cir. 1978), cert. denied, 440 U.S. 915 (1979); Wheatley v. Adler, 407 F.2d 307 (D.C. Cir. 1968). We conclude that Clymer is entitled to benefits for a disabling work-related aggravation of a pre-existing condition.

#### Disability -- Extent of Benefits

The ALJ found that Clymer suffered no disability. 3 In reaching this conclusion the ALJ credited the testimony of

Disability "means incapacity because of injury to earn the wages which the employee was receiving at the time of injury in the same or any other employment." 33 U.S.C. \$ 902(10).

Dr. North, who examined Clymer in April 1979, 14 months after he had returned from the Sinai and undergone a course of treatment by Dr. Dunn. Dr. North could find no physical impairment which would preclude Clymer's return to his prior employment. The ALJ credited Dr. North's testimony that the hypertensive and diabetic conditions were being controlled by diet and medication, and the medical opinion of two other doctors who stated that Clymer's neurological examination was within normal limits and that there was no evidence of organic disease.

We find no medical evidence offered by E-Systems addressing the question whether Clymer's condition prevented him from earning comparable wages prior to the time his condition improved due to diet and medication. The only evidence on this point is found in the reports of Dr. Dunn. On April 13, 1978, Dr. Dunn reported that Clymer was unable to work, a condition which would continue indefinitely. In a subsequent report, Dr. Dunn stated that if a neurological examination proved negative, Clymer would be considered employable. That examination, as noted above, was negative; however, Dr. Dunn advised Clymer against returning to work. In a letter dated August 28, 1979, Dr. Dunn stated that Clymer's condition remained the same, with the exception that his blood sugar level was normal. same" was not further defined and no explanation was offered for his advice to Clymer that he defer returning to work.

The ALJ's findings do not provide a sufficient basis for an evaluation of the extent of Clymer's disability claim. There are no findings relative to Clymer's symptoms, including fainting, periods of semi-consciousness and numbness, and how these would bear upon a finding of total temporary, permanent partial, or temporary partial disability. The evidence of record points to the existence of a period of disability, however, we are unable to fathom how much or how long. We must remand for further findings on the issue of disability.

#### Statute of Limitations

Under Section 913(a) of the Act, a claim under the LHWCA is time-barred unless it is filed within one year after an employee is aware, or by the exercise of reasonable diligence should have been aware, of the relationship between the injury and the employment. The ALJ found that Clymer was aware that he had intermittent labile hypertension on December 7, 1976 and therefore his claim, filed August 21, 1978, was not timely. The ALJ made no finding, however, that Clymer was told in December 1976 that his condition was employment related.

The ALJ's finding is not supported by substantial evidence. Although aware from late 1976 that he had some sort of affliction, it appears that the first time Clymer had reason to suspect his condition was employment related was in April 1978, after he received Dr. Dunn's diagnosis. See Fulks v. Avondale Shipyards, Inc., 637 F.2d at 1012 ("the period 'begins to run when the employee knows, or reasonably should know, that his condition . . arose out of his employment'"). Clymer's claim was therefore timely filed.

Under section 912(a), Clymer was required to give notice to E-Systems within 30 days. This requirement was also satisfied -- an E-Systems employee testified that the company received notice of Clymer's claim in April 1978. We therefore conclude that the ALJ's finding that Clymer failed to comply with the LHWCA's time and notice requirements, which finding the BRB did not address, is not supported by substantial evidence.

REVERSED and REMANDED for further proceedings naistent herewith.

## APPENDIX C

## BENEFITS REVIEW BOARD

## PURIISHED

#### U. S. DEPARTMENT OF LABOR

No. 79-766

FILED AS PART OF THE RECORD

HOWARD CLYMER

Claimant-Petitioner .

7.

E-SYSTEMS

and

LIBERTY MUTUAL INSURANCE COMPANY

Employer/Carrier-Respondents

Clerk

1 1981

Benefits Review Board

DECISION and ORDER

Appeal from the Decision and Order of David W. DiNardi, Administrative Law Judge, United States Department of Labor.

Samuel L. Boyd (Kelsoe & Ayres), Dallas, Texas for the claimant.

John W. Payne and David Townend (DeHay & Blanchard), Dallas, Texas, for the employer.

Before: SMITH, Chief Administrative Appeals Judge, MILLER® and KALARIS, Administrative Appeals Judges.

SMITH, Chief Administrative Appeals Judge:

This is an appeal by claimant from the Decision and Order (79-LHCA-1644N) of Administrative Law Judge David W. DiNardi pursuant to the provisions of the Longshoremen's and Harbor Workers' Compensation Act, as amended, 33 U.S.C. \$901 et seq., as extended to the Defense Base Act, 42 U.S.C. \$1651 et seq. (hereinafter, the Act). This case involves the issue of whether claimant's hypertension and diabetes mellitus were either \*Dissent by MILLER, Administrative Appeals Judge, to follow.

caused or aggravated by working conditions and his diet while working in Israel for employer.

In June 1976, claimant, a mechanic, was hired by E-Systems (hereinafter, employer) and sent to employer's Sinai Mission Field in Israel. On December 7, 1976, after experiencing numbness and nausea, claimant was hospitalized. A diagnosis of transient hypertension with possible cerebral ischemia was made; however, causation was not established. Cl. Ex. 4, Res. Ex. 7. Following his hospitalization, claimant returned to work and continued to experience intermittent attacks of headaches and nausea. Claimant again was hospitalized in January 1978, and he subsequently returned to the United States for further medical evaluation. Opon his return, in February 1978, claimant was examined by his family physician. Dr. John Dunn. In a report dated April 13, 1978, Dr. Dunn concluded that claimant was unable to return to work due to hypertension and possible diabetes mellitus. Cl. Ex. 10. In a report dated August 28, 1978, Dr. Dunn attributed claimant's condition in part to the work conditions and diet in Israel.

Claimant filed under the Act in August 1978, and employer controverted. Subsequent to a hearing, the administrative law judge denied benefits. He found that claimant failed to provide employer with timely notice of injury as required by Section 12(a) of the Act, 33 U.S.C. 5912(a). He further found the claim barred by Section 13, 33 U.S.C. 5913. Assuming arguendo that the claim was timely, the administrative law judge found no causal connection between claimant's condition and his

employment. Section 2(2), 33 U.S.C. \$902(2). Claimant appeals the decision of the administrative law judge, urging reversal of these findings.

On the issue of causal connection, the record contains conflicting medical opinion. Dr. John Dunn, claimant's treating physician, opined, in a report dated August 28, 1978, that claimant's work conditions and diet were both contributing causes to his hypertension and diabetes. Dr. John North, however, testified that neither of these conditions may be attributed to claimant's occupation, geographic location, work environment or diet. In Dr. North's opinion, claimant would have developed the same impairments had he remained in Texas. He denied that the manual labor required of claimant in his job aggravated these conditions. Moreover, Dr. North considered claimant's age, overweight, and heavy alcohol use as factors aggravating his conditions.

In a detailed and well reasoned opinion, the administrative law judge found that employer had rebutted the Section 20(a) presumption of causal connection. The administrative law judge credited the testimony of Dr. North and found that claimant's hypertension and disbetes mellitus would have occurred irrespective of his employment. Moreover, the administrative law judge found that these conditions were not aggravated by claimant's employment, work environment or diet. He credited testimony that employer provided cafeteria-style meals, designed to approximate the American-type diet, that special food items could be prepared for workers at their request, and that it was possible for individuals to do their own cooking.

The factfinder is not bound to accept the opinion or theory of any particular medical examiner. Todd Shipyards v. Donovan, 300 F.2d Twi (5th Cir. 1962). The testimony of Dr. North not only constitutes substantial evidence to reput the presumption, but also constitutes substantial evidence to support the administrative law judge's finding that claimant's condition is not causally related to his employment. Del Vecchio v. Bowers, 296 U.S. 280 (1935).

Accordingly, we affirm the administrative law judge's denial of benefits on the basis of the lack of causal connection. We do not reach the other issues appealed.

SO ORDERED.

SAMUEL J. SHITH, Chief Administrative Appeals Judge

I Concur:

Administrative Appeals Judge

Dated this lith day of June 1981

# \*\* .... \*-15\*

re' is the first reward to Clyman va felicates and bloads fueur!

Insurance Company (Clam to Timbe Cantible) 10.14 5. 1-210-31

furius was sint to the followings

is not be average.

color of the control of the color of

Astronomic Contract Con

Michigan Company

LACTIFIES

Larrities

Carel Class

U.S. Department of Labor

Benefits Review Board 1111 20th St., N.W. Washington, D.C. 20038



A decision by the Benefits Review Board becomes final after 60 days from the date such decision is issued unless a petition requesting review has been filed in the appropriate United States Court of Appeals. 33 U.S.C. \$921(c)

# BENEFITS REVIEW BOARD U. S. DEPARTMENT OF LABOR

No. 79-766

HOWARD CLYMER

Claimant-Petitioner

E-SYSTEMS

and

LIBERTY MUTUAL INSURANCE COMPANY

Employer/Carrier-Respondents SEP 30 1981

Sonafita Borier Board

DISSENTING OPINION

MILLER, Administrative Appeals Judge, dissenting:

I dissent from the majority's denial of benefits to claimant. The record does not support my colleagues' statement that "[t]he testimony of Dr. North not only constitutes substantial evidence to rebut the presumption, but also constitutes substantial evidence to support the administrative law judge's finding that claimant's condition is not causally related to his employment." Majority Opinion, slip op. at 4. For the reasons stated herein, I would hold that employer has not rebutted the presumption of compensability mandated by Section 20(a), 33 U.S.C. \$920(a).

Section 20(a) provides that "[i]n any proceeding for the enforcement of a claim for compensation under this act it shall be presumed, in the absence of substantial evidence to the contrary -- (a) That the claim comes within the provisions of this

Act. 33 U.S.C. \$920(a). This presumption finds its basis in the humanitarian nature of the Act. Leyden v. Capital Reclamation Corp., 2 BRBS 24, 27, BRB Nos. 74-226/A (1975), aff'd mem. 547 F.2d 706 (D.C. Cir. 1977). See Hensley v. Washington Metropolitan Area Transit Authority, 13 BRBS 182, F.2d , No. 79-2552 (D.C. Cir. March 17, 1981), rev'g 11 BRBS 468, BRB No. 78-637 (1979) (Miller, dissenting). Moreover,

the beneficient purposes and humanitarian nature of the Act must be borne in mind when deciding whether the employer has presented 'substantial' evidence; thus doubtful questions, including factual ones like work-relatedness, must be resolved in favor of claimants.

Hensley, 13 BRBS at 185, F.2d at . The Section 20(a) presumption "applies as much to the nexus between an employee's malady and his employment activities as it does to any other aspect of a claim." Swinton v. J. Frank Kelly, Inc., 4 BRBS 466, 475, 554 F.2d 1075, 1082 (D.C. Cir.), cert. denied, 429 U.S. 820 (1976). In order to rebut the Section 20(a) presumption, the employer must present "evidence specific and comprehensive enough to sever the potential connection between the disability and the work environment. Parsons Corp. of California v. Director, OWCP, 12 BRBS 234, 235-36, 619 F.2d 38, 41 (9th Cir. 1980). Further, since this case arises under the Defense Base Act, the employer must show that the condition did not arise out of the "zone of special danger" created by claimant's conditions of employment. See O'Leary v. Brown-Pacific-Maxon, Inc., 340 U.S. 504 (1951). A showing that claimant's malady may also be caused by some factors which are not work-related is insufficient to rebut the presumption. Dr. John Dunn diagnosed claimant's illness as diabetes mellitus and transient hypertension, a diagnosis assented to by Dr. Robert North. Dr. Dunn opined that the camp diet and work conditions were both causes, albeit not the only ones, in the development of claimant's symptoms. Cl. Ex. 5. The majority, relying on the testimony of Dr. North, avers that there is no causal connection between claimant's employment and his illness.

With this assertion, my colleagues ignore the well-established principle that "a aggravation of a pre-existing condition may constitute a compensable...injury under the Act...." Wheatley v. Adler, 407 F.2d 307, 312 (D.C. Cir. 1968). Although Dr. North's testimony may be cited to for the statement that claimant's employment did not cause his malady, his testimony supports claimant's assertion that his employment aggravated these conditions.

During cross-examination by claimant's counsel, the following testimony was offered by Dr. North.

- Q Dr. North, do I understand your opinion to be then that Dr. Dunn has no medical basis for his opinion, is that correct?
- A Specifically there is no basis in medical probability that such conditions as heat, perspiration, the specific diet would be causes for labile hypertension or diabetes.
- and it's your testimony that can't affect it, too, isn't that your testimony?
- A That if what?
- Q That it can't affect those disorders either?
- A It certainly can affect those disorders.

Hearing Transcript at 89. Dr. North later reiterated the same opinion.

Q OK.

Earlier when you was talking about diet, you took me back a little bit, but I want to make sure I understand you. You're not saying that diet can't affect bodily dysfunctions, but that you didn't see it causing bodily dysfunctions as the hypertension and as to diabetes?

A That's correct.

Hearing Transcript at 102-03.

In response to a request for an explanation of why Dr. North disagreed with Dr. Dunn, Dr. North replied as follows.

A Secause that doesn't fit with our current understanding in the weight of the medical evidence as to the genesis and the nature of these diseases. There are things which may be aggravated by dietary and even environmental influences but they are obviously not caused by these things because there are many many people who are exposed to the same conditions that never develop the disorder.

So there has to be some other factor or factors involved in the genesis of hypertension and diabetes. In essence that's my answer.

Hearing Transcript at 129-30. Thus, the majority's reliance on Dr. North's testimony to support its contention of no causal connection is misplaced. It is clear that the employer presented no evidence specific and comprehensive enough to sever the potential link between claimant's malady and his employment. Rather, employer's medical evidence supports claimant's contention that his illnesses were work-related.

In addition, the majority's statement that "Dr. North considered claimant's...heavy alcohol use as [a factor] aggravating his conditions" is incorrect. Majority Opinion, slip op. at 3. Although Dr. North opined that heavy alcohol use is an aggravating factor in development of hypertension and diabetes, he testified that he had no knowledge of claimant's alcohol use. Hearing Transcript at 132-33.

However, assuming arguendo that claimant did use alcohol heavily, I would still hold that the link between his illness and employment was not severed. If the conditions of employment create a zone of special danger out of which the injury arose, then a causal connection exists. O'Leary v. Brown-Pacific-Mexon, Inc. I would take judicial notice that the Sinai is a desert and conducive to drinking. Accordingly, since the employer has not established otherwise, see 33 U.S.C. \$920(a), any heavy alcohol use is attributable to claimant's work environment.

Consequently, my colleagues err in their conclusion that Dr. North's testimony constitutes substantial evidence to rebut the Section 20(a) presumption. In view of the uncontradicted testimony that diet and the work environment may aggravate conditions, I would reverse the administrative law judge's denial of benefits. See Kicklighter v. Ceres Terminal, Inc., 13 BRBS 109, 118 n. 10, BRB No. 79-550 (1981).

Dated this 30th day of September 1981 JULIUS HILLEN Administrative Appeals Judge

## SERVICE SHEET

SR8 No. 79-766: Howard R. Clymer v. E-Systems, and Liberty Mutual Insurance Company (Case No. 79-LHCA-1644N) (DMCP No. 2-58065)

Copies were sent to the following:

Samuel L. Boyd, Esq. McElroy and Boyd 2505 Republic National Bank Tower Dallas. TX 75201

Certified

John W. Payne, Esq. DeHay and Blanchard Plaza of the Americas 2300 South Tower Dallas, TX 75201

Certified

Mr. Donald S. Shire Associate Solicitor U.S. Department of Labor Suite N-2620, NOOL Washington, DC 20210

Certified

Mrs. Marilyn C. Felkner Assistent Deputy Commissioner US DOL/ESA/OMCP Room 2108 2320 LeBranch Street Mouston: TX 77004

Judge David Dinardi U-S- Department of Labor Suite 901 1001 Howard Avenue New Orleans, LA 70113

Mr. Ralph M. Hartman Director, Office of Workers' Compensation Programs U.S. Department of Labor Suite S-3524, NDOL Washington, OC 20210



U.S. Department of Labor

Conce of Administrative Law Judges
Hebert Federal Building
Room 209, 600 South Street
New Orleans, Louisians 70130

Reply to the Attention of DAL 3

In the Matter of

HOWARD R. CLYMER Claimant

against

E SYSTEMS

Employer

LIBERTY MUTUAL INSURANCE COMPANY

Case No. 79-LHCA-1644N

Samuel L. Boyd, Esq. Kelsoe and Ayres 5744 LBJ Freeway Dallas, Texas 75240

John William Payne, Esq. David Townend, Esq. Gardere, Wynne, Jaffe and DeHay 1700 Republic National Building Dallas, Texas 75201

Before: DAVID W. DI NARDI Administrative Law Judge

DECISION AND CROER

## Statement of the Case

fins is a claim for workmen's compensation benefits under the Londshoremen's and Harbor Holkers' Compensation Act (33 U.S.C. §901, et seq.) as extended by the Defense Base Act (42 U.S.C. §1701, et seq.), hereinafter jointly referred to as the

"Act." The hearing was held on September 6, 1979 in Dallas, Texas, at which time all parties were given the apportunity to present evidence, oral arguments and post-hearing briefs, which have been admitted into evidence as Claimant's Exhibit 24 and Respondents' Exhibit 13. Application for an attorney's fee, filed by Claimant's counsel, has been admitted into evidence as Claimant's Exhibit 23. This decision is being rendered giving full consideration to the entire record.

# Stipulations

The parties have stipulated, and I find, as follows:

- 1. The Act applies:
- The Employer/Employee relationship existed between E Systems (the Employer) and Howard R. Clymer (the Employee) from June 16, 1976 to March 11, 1978;
- 3. The Notice of Controversion was filed on November 14.
  - 4. Claimant's annual earnings were \$24,480.00.

The principal unlessived issues in controversy are:

- Whether a compensable injury has occurred and, if so, the date thereof:
- 2. Whether timely notice of the injury was given to the Employer:
  - 3. Whether Claimant has timely filed this claim:
  - 4. The nature and extent of any disability:
  - 5. Attorney's fee, censities and interest: and
  - 6. Claimant's average weekly wage.

Howard R. Clymer, fifty years of age at the time of the hearing, did not complete high school. He has spent most of his work career as a sheet motal worker. From 1957 to 1970 he operated his own sheet metal business and in 1970 he began to work for several Dailas companies as a heating and air conditioning repair man. In 1971 he again opened his own air conditioning and heating repair firm until June of 1976 when he went to work for the Employer in the Sinai. Claimant was hired to work as a heating and air conditioning mechanic although he was told from time to time he might be required to do work outside of his trade. Upon arrival at the Sinai Field Mission, Claimant spent some time unloading and setting up furniture, doing some construction and carpentry work busiding a 40,000

delion water tank, all of which work he characterized "as all objects!." He also helped build office structures and covered plumbing ditches, work performed from 7:30 a.m. to 5:00 p.m. in a climate described as a different type of hot weather than anything he had experienced, remarking that it was uncomfortable working in the heat. In the first five-and-one-half-months Claimant repaired only one air conditioning unit.

Claimant passed his pre-employment physical examination and testified that his health was "good" before going to the Sinai. In Audust of 1976 Claimant felt exhausted at the end of the day and began having headaches. On December 7, 1976 Claimant felt numbness in his right leg, in part of his right arm and the right side of his face had a numbness. He also experienced a naugested stomach, reporting these difficulties to the parametics at the dispensary. He was given some pills and told to rest. The following spring or summer Claimant experienced similar difficulties and he was hospitalized in Tel Aviv for one week. Upon his discharge Claimant returned to his same employment with no restrictions (fr. 24-38).

Claimant experienced similar physical problems in late spring or early summer of 1977 while at a Tel Aviv hotel, experiencing periodic headaches also. Thereafter, in January of 1978, while shooping in a Tel Aviv department store, his headaches started to bother him and he felt quite sick. After returning to his hotel, he called his Employer who thereupon provided a doctor who dave him some pills, telling him to rest and to return to work. However, Mr. Carl Lunguist, Employer's SFM Tel Aviv Office Manager, told Claimant to pack his suitcases for admittance to a local mospital, where Claimant remained for twelve days. Therewoon, Claimant returned to work and, on February 25 or 24, 1978, was advised he would be sent home for "further medical evaluation before my condition worsened." Claimant refused to sign a release from the Employer, returned to the United States on February 26, 1978 and sought medical treatment from Dr. Dunn the next day (Tr. 150, 163).

Claiment testified he was a moderate drinker, taking "mayhe two or three drinks in one day" and remarking that he was intoxicated "in a period of twenty and a half months that I was there, three or four times mayhe at the most." Claiment is on a "no alcohol diet, a diabetic diet." Claiment's problems with the Internal Revenue Service have been corrected. Claiment has not worked since his return to the U.S. because Dr. Dunn has advised not to work "until this was all under control, my illnesses and so forth, whatever it is" (163-167).

Upon cross-examination, Claimant admitted that his claim was filed on August 16, 1978, that his weight in the Sinai had increased to as high as 230 or 240 and that he now weighs 182,

- 4 -

that his employment contract required him to perform services other than those for which he was hired, that he worked six days a week for three weeks and then had "seven days RAR" at Tel Aviv, Jerussiem, Bethlenem, Jaffa, Suez City and Cairo, Egypt. Claimant returned home for a visit in June of 1977 and then resumed his normal duties at SFM until his medical problems around January 28, 1978 in Tel Aviv, admitting that he knew something was wrong with him at that time and that he went to see Dr. Dunn on February 27, 1978, the day after his return. He stated that no one had told him that his two previous attacks were job-related (Tr. 182-202).

Claimant was concerned about the I.R.S. audit in 1977. He admitted that he sometimes drank an orange Yodka, Canadian Club or scotch in his room and at other times in the SFM lounde before playing bingo. He also admitted there was a salad bar in the dining room, that fresh fruit also was awailable and no one forced him to est any particular food item. Or. Dunn has been his family doctor since 1970. The paramedics who worked in the dispensary operated by the Employer told Claimant to return to work after his January 1978 incident (Tr. 207-229). Mr. Clymer characterized Dr. Dunn as his Department of Labor-approved doctor (Tr. 237-238). Although Claimant experienced another attack in May of 1977, shortly before returning to Garland, Texas for a vacation, he did not seek medical attention at that time (Tr. 240). Claimant's first attack occurred in December of 1976 while he was in the base camp; the second attack occurred in March or May of 1977 while at a Tel Asiv hotel and the third attack occurred at a Tel Asiv hotel and the third at

Claimant, having been called as a rebuttal witness, testified that he drank beer occasionally, but not the amount attributed to him, and that Dr. Dunn, snortly after Claimant's return to the United States, told him his diabetes might be job related (Respondents' Exhibit 6, dated April 13, 1978; Tr. 283-286).

The Employee's Claim for Compensation (Form LS-203), dated August 16, 1978, was received by the Office of Workers' Compensation Programs-Houston on August 21, 1978 (Respondents' Exhibit 10). A letter from Claimant's attorney, Samuel L. Boyd, Esq., dated November 2, 1978, was received on November 29, 1978 (Respondents' Exhibit 9).

Robert L. North, M.D., a specialist in cardiovascular diseases and internal medicine, obtained his medical degree in 1955. Dr. North, after reviewing Claimant's medical file and taking a history report, examined Claimant on April 26, 1979. At this time Claimant complained of weakness and numbness in his extremities and episodes of painful sensations also in his extremities and at times in his chest. Dr. North stated this

physical examination was normal with the exception of the presence of edems or swelling of the lower extremities. Dr. North requested a neurological evaluation in view of the complaints. Dr. Stuart Black performed a thorough neurological examination, the results of which were negative. Dr. North had Claimant's tolerance for glucose or sugar tested. These tests substantiated what previous tests had documented, namely that Claimant nix mild dispates mellitus, was placed on a diet and given oral medication. Dr. North recommended psychological testing and referral to a psychiatrist, but Claimant refused.

On the basis of this and a later examination, Dr. North concluded that he "could find no satisfactory explanation or medical diagnosis to account for Mr. Clymer's symptomatology" and that his mild diabetes and intermittent high blood pressure were under control. Dr. North expressed the opinion that these conditions (i.e. mild diabetes and intermittent high blood pressure) could not be "attributed to his occupation or to diet or to geographical location, environment, nor this sort of cause." (fr. 58-69).

Furthermore, Dr. North did "not find any physical impairment, impairment and exercise ability coordination, anything of this sort that would preclude his employment in that field" (Tr. 70). Claimant's exercise stress test was negative, in that there was no indication of an abnormal cardiovascular response to physical exertion (Tr. 82-82). Dr. North testified that Claimant's diabetes mellitus tends to be an inherited disease and invalves an insulin deficiency (Tr. 84).

Upon cross-examination, Dr. North stated that although physical stress and diet might affect diabetes, "there is no basis in medical probability that such conditions as heat, perspiration, the specific diet would be causes for labile hypertension or diabetes." Furthermore, "obesity is a factor in the development of the manifestations of diabetes and hypertension as well" (Tr. 67-89). Dr. North admitted that an improper diet of high carbonyntrates, fats and high salts may affect or aggravate his hypertension and diabetes (Tr. 100-101) but denied that physical exertion in the desert sun might affect or aggravate these conditions (Tr. 102). Anxiety or use of alcohol might aggravate hypertension (Tr. 104) but "blood pressure per se is not a disease and it doesn't cause disability. It is through its affect (sic) on other organ systems that blood pressure becomes a problem and it is to prevent these affects (sic) that we treat people with high blood pressure is heart failure" as well as "hardening of the arteries," stroke and kidney disease. Furthermore, "blood pressure itself does not cause symptoms or, you know, make people dizzy or anything of that sort." Hypertension and diabetes "become clinically

- 6 -

apparent in middle-age years" (Tr. 110-112). Although Or, North admitted "that diet and certain kinds of stress may aggravate these problems" he did not know what caused the symptoms of which Claimant was complaining (Tr. 121).

Or. North opined that Claimant's physical examination and testing disclosed no objective evidence of brain disease, heart disease or kidney damage (Tr. 126). Excessive use of alcohol is "a risk factor, if you will, for both hypertension and disbetes or glucose intolerance." However, hypertension and disbetes are not caused by labor in the heat... because there are many people who are exposed to the same conditions that never nevelop the disorder." Claimant's overweight condition and are "are both aggravating factors." (Tr. 129-130).

Claimant's swelling of his less "represents chronic venous insufficiency due to some unknown cause" (fi. 134). "There is no sound scientific basis, though, to say that geography or occupation caused this" (Claimant's condition) (fr. 136). Furthermore, Dr. North opined "that the location, the climate and whatever are purely accidental in this case and that had he remained in South Texas and that had he been given the same diet, the same body weight, the same, you know, orohlems with the L.R.S., however much he drank drinking the same, he would have developed the same problems at the same time. In that respect, it's his destiny" (fr. 141). "True diabetes mellitus is something that does show a very strong family incident" and "there is no specific diet that causes hypertension or causes diabetes" and "that the geographic location and occupation in my opinion have nothing to do with the appearances of high blood predsure or diabetes mellitus in this case. . . . " Furthermore, adequate physical activity and exercise are methods used to control hypertension and diabetes mellitus (fr. 143-147).

Mr. Hichael E. Kapple, Employer's Program Manager, testified that he was in charge of building an early warning system in the Sinai Desert, a system which was an out-growth of Henry Kissinger's shuttle diplomacy and was manned entirely by U.S. volunteers. Heavy construction started in February of 1976 and ran through mid-June of 1976, at which time the camp was 99 percent completed. Cafeteria-style meals were provided the workers, meals which were designed to approximate an American type diet as closely as possible. Each person was free to select any of the items available at any meal. The Sinai weather was comparable to Texas weather with the disception of a milder winter. The location was 2300 feet on top of a mountain and there was a continuous breeze so that even the hottest days ("110 degrees fahrenneit") were bearable. Upon his discharge from the hospital in January of 1978, Claimant worked half days for several days and thereafter it was determined to send Claimant none to be examined by his own family doctor. Thus, Employer intended that Claimant be terminated for medical reasons because

he had missed a few work days and was physically unable to continue in his normal work capacity. However, Claimant would not agree to this procedure whereby he would receive 100 per cent of his contract benefits and, instead, returned to the United States on his own. In December of 1977 or January of 1978 Mr. Kapple learned that Claimant was being audited by the I.R.S., remarking that "he appeared to be guite anxious about it." Claimant never reported to him any job-related injury or occupational disease (Tr. 249-255).

Upon cross-examination, Mr. Kapole admitted that he knew Claimant had been in the huspital and that the L.R.S. audit months. He testified that there was not "much manual labor for long term durations after approximately the middle of June 1976," remarking that there were various construction add-ons thereafter, that 70 percent or higher of Claimant's work was in the air conditioning-heating field, that special food items were prepared for certain workers at their request and that it was also possible for individuals to do their own cooking. Or. Zaigraeff advised Hr. Kapole by medical report the nature of Claimant's medical problems in January of 1978. At that time, the two paramedics, Mr. Barnhill and Mr. Lay, reported that they also were concerned about Claimant's health (Claimant's Exhibit 7 and Tr. 256-267).

Hr. Walter S. Shavers, an employee of the Employer herein, testified that he first learned Claimant was claiming a job-related occupational disease or illness on April 13 or 14, 1978 in a phone conversation with Mr. H. H. McKelvey, Employer's insurance administrator. Hr. Shavers was not in Israel in January or February 1978 and had no idea what sort of notice had been given to the Employer in January or February 1978 (Tr. 270-273).

Mr. Gary ford, Employer's personnel specialist, arrived in the Sinal in May of 1976 and departed in January of this year. On two or three occasions each month Mr. Ford tended har in the PX lounge, testifying that on seven, eight or nine occasions he observed Claimant drinking six to eight beers at the bar in the course of an evening, that Claimant told him about an I.R.S. audit and that he seemed nervous about this sometime in the summer or fall of 1977, as well as a personal health problem (Tr. 274-278). Upon cross-examination, Mr. Ford admitted that he did not know how much money was involved in the I.R.S. sudit, remarking that Claimant "was upset about it and he wanted some sort of solution" and that a lot of people drink when they are isolated (Tr. 278-282).

Or. John Dunn reported on August 28, 1979 that Dr. Stuart Black's neurological evaluation was within normal limits, that Claiment's blood sugar level is now normal because of his diet, that he experiences intermittent upisodes of hypertension and

that Claimant's camo diet of high carbohydrates, high salt intake and work conditions "were definite factors in his medical situation" (Claimant's Exhibit 5). Dr. Ounn reported on April 13, 1978 that Claimant first saw him for this condition on February 27, 1978 and that he diagnosed hypertension and possible diabetes (Claimant's Exhibit 10).On July 18, 1978 Dr. Dunn reported that Claimant's hyperiension and diabetes mellitus were under control by diet and oral medication and that he "could be considered fully employable" if neurological evaluation proved negative (Respondents' Exhibit 6). Dr. Dunn reported on August 7, 1978 that Claimant's several episodes of numbness of the right side of his head probably "was transient ischemic attacks. These attacks had never occurred prior to his residing in Israel." Furthermore, Dr. Dunn noined that Employer's medical personnel mis-diagnosed acute coronary insufficiency, precardial pains and cirrhosis of the liver (Respondents' Exhibit 6).

On February 9, 1978 Dr. V. Zaigraeff reported that Claimant had been hospitalized on Janury 31, 1978 at Assuta Hospital, Tell Aviv. With a final disanosis of (1) Essential hypertension, (2) Strain of the Hyporetium and (3) Slight cirrnosis of the liver. It was reported that Claimant was overweight at 210 bounds (Claimant's Exhibit 6). On February 23, 1978 the camp paramedics reported that "Mr. H. R. Clymer should be sent home as soon as possible due to his medical condition" (Claimant's Exhibit 7). Dr. Chaim Feidman, on February 31, 1978, reported that Claimant should "stop drinking alcohol to reduce weight" (Claimant's Exhibit 9).

The Employer's first report of accident or occupational illness (REC-202) reflects that Claimant did not return to work. as of February 75, 1978, that his pay was stopped as of March 11, 1978, that the Carrier was notified on May 8, 1978 of this occupational illness and that Dr. Dunn disgnosed hypertension and possible diabetes on April 13, 1978 (Claimant's Exhibit 12).

On June 18, 1979 Dr. Robert L. North, Chief, Internal Medicine, Presbyterian Hospital of Oallas, reported that his examination of the Claimant on April 26, 1979 led to the conclusion that Claimant's mild disbetes and labile hypertension cannot be attributed to his occupation or residence in Israel (Respondents' Exhibit 1). On May 23, 1979 Dr. Stuart B. Black reported that Claimant's EEG was normal (Respondents' Exhibit 3). On March 2, 1978 Dr. Jack Spitzberg, a cardiologist and internist, opined that he could not determine an etiology for his problems in Israel, that "berhaps extreme anxiety at that time could have raised his blood pressure transiently" and that "at this point in time I feel he is generally in reasonable health for his age and aside from possibly some anxiety attacks, I can find no evidence of organic disease." (Respondents' Exhibit 8). On March 16, 1978 Dr. Jack Spitzberg reported that Claimant's stress fest, blood pressure, EKG and blood pressure were normal (Respondents' Exhibit 5-2). On March 17, 1978 Dr. R. J.

. 9 .

Sarner, a radiologist, reported that Claimant's adrenal sonogram demonstrated "no adrenal masses or other abnormalities" (Respondents' Exhibit 5-19). On March 17, 1978 Dr. T. J. Davis, a radiologist, reported "PA and lateral views of the chest reveal the heart, pulmonary vascularity and both lung fields to be normal." Respondents' Exhibit 5-20).

In a history report, dated March 17, 1978, Claimant advised medical personnel at Presbyterian Hospital of Dallas that "he was a heavy drinker but says he stopped two months ago." (Respondents' Exhibit 5-7).

#### Findings of Fact and Conclusions of Law

In arriving at a decision in this matter, it is well-settled that the fact-finder is entitled to determine the credibility of the witnesses, to weigh the evidence and draw his own inferences from it, and he is not bound to accept the opinion or theory of any particular medical examiner. Seroent v. Matson Terminals, Inc., 8 8885 564, 888 No. 77-597, 77-3978 (June 30, 1978); Brandt v. Avandale Shippards, Inc., 8 8885 569, 888 No. 77-103 (August 22, 1978); Bank v. Chicago Grain Irimmers Association, Inc., 390 U.S. 454, 467 (1968); ren. denled, 391 U.S. 929 (1968); Tood Shippards v. Donován, 300 F. 23 741 (5th Cir. 1962). At the outset it further must be reconsized that all factual doubts must be resolved in favor of the Claimant. Meatley v. Adler, 407 F. 2d 307 (D.C. Cir. 1968); Strachan Shipping Company v. Shea, 406 F. 2d 521 (5th Cir. 1969); cert. denied, 395 U.S. 921. Furthermore, it has consistently been held that the Act must be construed liberally in favor of the Claimant. Voris v. Eikel, 345 U.S. 328, 333, 74 S.Ct. 88, 98 U.S. (1953); J. B. Vozzolo, Inc. v. Britton, 377 F. 2d 144 U.S. (1968). The Act provides a presumption that a claim comes within the provisions of the Act (33 U.S. C. 1920(a)). This Section 20 presumption "applies as much to the nexts between an employee's mailedy and his employment activities as it does to any other assect of a claim." Swinton v. J. Frank Kelly, Inc., 594 F. 2d 1075 (D.C. Cir. 1976), cert. denied, 429 U.S. 820 (1976). To rebut this presumption, the employer must introduce substantial evidence to the contrary. Butler v. District Parking must introduce facts, not speculation, to overcome the presumption of compensability. Reliance on a mere hypothetical probability in rejecting a claim is contrary to the presumption. Streig v. Adder, 269 F. Supp. 276 (D.D.C. 1967). 17

<sup>1/</sup> Based upon the humanitarian nature of the Act, the Art .5 to be interpreted liberally in favor of Claimants and Claimants are to be accorded the benefit of doubts." David P. Harrison v. Potomac Electric Power Company, 8 BRRS 313, 314, BRR No. 78-139 (May 30, 1978).

- 10 -

It is, of course, well settled that a Claimant's credible subjective complaints, standing alone, are sufficient to establish entitlement to compensation. Buiz v. Universal Marxitime Service Corp., 8 8885 451, 454, 888 No. 78-135 May 30, 1578). citing Perini Corp. v. Heyde, 306 F. Supp. 1321 (D.R.I. 1969): Rudoloh McIntosh v. Parkhill Goodloe Co., 4 8885 3, 888 No. 75-625 (May 17, 1976), aff'd mem., 551 F.2d 1283 (Sth Cir. 1977): Barbara A. Woodham v. U.S. Navy Exchange, 2 8885 185, 888 No. 75-105 (August 20, 1975): Larson's Workmen's Compensation Law, volume 3, 579.53. "However, there must be some basis in the record to support the extent of the disability awarded." Woodham, supra, at 191. Furthermore, the Administrative Law Judge is permitted to rely on his own observations. Perini Corp. v. Heyde, supra.

Although Section 20 contains several presumptions, including the presumption of causality, there is no presumption that the Claimant suffered an injury. Percy L. Murphy v. SCA/Shayne Brother and American Mytual Insurance Company, 7 8885 309, 312, 588 No. 76-419 (February 24, 1978); Kwasizur v. Cardillo, 175 F.2d 235 (3rd Cir. 1948), cert. denied, 378 U.S. 880 (1949); toung & Co. v. Shea, 397 F.2d 185 (5th Cir. 1968), renearing denied, 404 F.2d 1059 (5th Cir. 1968), cert. denied, 395 U.S. 927 (1969). Claimant must prove all that is not presumed. "Thus, the burden is on the Claimant to prove his injury. We do not believe the presumption of causality found in Section 20 is applicable in a case such as this because there must be proof of an injury before the presumption can arise." Murphy, Supre, at 314, 2/

Moreover, in considering the issue of causal relationship, Claimant has the benefit of the legislative policy favoring awards in arguable cases. This Administrative Law Judge is bound by longstanding rules of judicial construction that the statute should be construed liberally in favor of claimants and that doubts should be resolved in their favor. Swinton, supra, at 1084; Hheatley v. Adler, 407 F.2d 307, 313-314 [D.C. Cir. 1968]; James H. Brennan vs. Bethlehem Steel Corporation, 8 BRRS 419, BRB No. 77-203 (February 14, 1978). "Swinton holds that the Act presumes a claim to fall within its provisions, in the absence of substantial evidence to the contrary; that is,

<sup>2/</sup> This presumption of compensability does not apply to a claim that disability is permanent rather than temporary since the issues of nature and extent of disability are quite properly liticated without the benefit of the presumption. David P. Marrison v. Potomac Electric Power Company, 8 BRBS JIJ. BRE TR-159 (May 30, 1978); Elroy A. Lewis v. Sun Shipbuilding and Dry Dock Company, 8 BRBS GIJ, BRB 77-388 (July 24, 1978); Huningman v. Sun Shipbuilding and Dry Dock Company, 8 BRBS GIJ, BRB No. 77-246 (March 31, 1978).

. 11 .

the employer must rebut the presumption prims facion with substantial countervailing evidence. Id. at 1081. For that rule, the D.C. Court of Appeals cited Del Vecchio v. Binners, 296 U.S. 280, 286 (1935). Del Vecchio stands as the United States Supreme Court's last extensive analysis of the Section 20 presumptions. Hence, it is binding on all administrative and judicial tribunals that oversee the Act. The Del Vecchio case applies the 'bursting bubble' theory of presumptions to Section 20 of the Act. According to that theory, 'the only affect of a presumption is to shift the burden of producing evidence with regard to the presumed fact. If that evidence is produced by the adversary, the presumption is spent and disappears,' McCornick's Mandbook of the Law of Evidence, 5345 at 821 (2d ed. 1972). 'Once the employer has carried his burden by offering testimony sufficient to justify a finding . . . [opposed to the presumed fact], the presumption falls out of the case . . . . . . . . . . . . [Its'] only affice is to control the result where there is an entire lack of competent evidence. Del Vecchio at 286.

"What the <u>Swinton</u> court found was that the Deouty Commissioner had failed to accord the presumption sufficient respect. The court said, 'We are satisfied that if the presumption had been honored, the Deouty Commissioner would have had to find that it was not overcome by substantial evidence.' <u>Swirton</u> at 1081-1082. That is, under the <u>Del Vecchio</u> test, the employer had failed to rebut the presumption." <u>Brennan</u>, <u>suppa</u>, at 423-424.

What constitutes substantial evidence depends upon the facts and circumstances of each compensation claim, isoecially the Claimant's credibility. In Charles F. Ethier v. General Dynamics Corporation, 8 8885 575, 888 No. 78-287 (July 20, 1978), the Benefits Review Board, in affirming denial of a claim under the Act, ruled that an Administrative Law Judge properly considered the testimony of a physician in concluding that the Employer had dispelled the Act's Section 20(a) presumption that the claim comes within provisions of the Act and in concluding that the disability was unrelated to the accident. The Claimant had contended that the physician did not examine the Claimant in person, did not attempt to ascertain the accuracy of Glaimant's accident history taken at Employer's infirmary and that, therefore, his testimony should have been disregarded. The physician based his opinion on all available evidence of Claimant's condition and knew all the pertinent facts. Other than a short time for necessary medical attention, Claimant lost no time from work due to the injury until February 24, 1976, at which time Claimant suffered a grand mal seizure.

Once the Section 20(a) presumption is overcome by the introduction of substantial evidence, the fact-finder proceeds to evaluate the evidence introduced by the parties. Travelers Insurance Co. v. Belair, 417 F.2d 297, 301 n.6 (1st Cir. 1969); Vorat v. Universal Terminal and Stevedoring Corp., 3 BRBS 151, BRB No. 75-162 (Jan. 12, 1976). Substantial evidence is nefined as "such relevant evidence as a reasonable mind might accept as

adequate to support a conclusion. "Universal Camera Corp. v. NLR8, 340 U.S. 474, 477 (1751); Consolidated Edison Co. v. NLR8, 305 U.S. 197, 229 (1938); Steele v. Adler, 269 f. Supp. 376 (D.D.C. 1967); De Nichila v. Universal Terminal and Stevedoring Co., 5 BRR5 723, BR8 No. 76-327 (Adril 1, 1977). The statement in the Act that the evidence to overcome the effect of the aresumption must be substantial adds nothing to the well understood principle that a finding must be supported by the evidence. Crowell v. Bersun, 285 U.S. 22, 46 (1937); Avigonete Freres, Inc. v. Cardillo, 117 F.2d 385, 386 (D.C. Cir. 1968). 3/ In evaluating this evidence, as indicated above, I have operated under the statutory policy that all doubtful fact—questions are to be resolved in favor of the injured employee because the intent of this statute is to place the burden of possible error on those best able to bear it. Young & Co. v. Shea, supra. This statutory policy places a less stringent burden of proof on the claimant than the "preponderance of the evidence" standard which is applicable in a civil suit. Strachan Shipping Co. v. Shea, 406 F.2d 521 (5th Cir. 1969). A/

After having reviewed all of the medical evidence and other record evidence in this case and having observed the demeanor of the witnesses. I find that Respondents have introduced substantial evidence to rebut the Section 20(a) presumption, that the presumption does not control the result herein and I will now proceed to weigh all of the evidence, resolving all doubts in favor of the Claimant herein.

Section 12 of the Act requires the Employee to give written notice of an injury within 30 days to both the Deputy Commissioner and the Employer. Section 12(a) provides as follows:

Notice of an injury or death in respect of which compensation is payable under this Act shall be given

This presumption that employment caused the disability for which the claim is brownth may be rebutted without showing any other cause of the injury. Thus, the Penefits Review Board affirmed a decision that the presumption is rebutted based on evidence which is substantial but which does not show the cause of injury. Lovel V. Carver v. Potomac Electric Company, 8 BRRS 43, BRB No. 77-460 (March 15, 1978).

Other cases wherein the Benefits Review Board has affirmed decisions dismissing compensation claims because the Employer had successfully rebutted the Section 20 presumption: Major F. Warren v. International Great Lakes Shipping Company and American Mutual Liability Insurance Company. 6 SERS 78, 888 No. 76-428 (May 27, 1977); Joseph C. Kirby Sr. v. Warriott Corporation and Liberty Mutual Insurance Company. 6 BRS 200, SER No. 76-216 (May 27, 1977).

within thirty days after the date of such injury or death, or thirty days after the employee or beneficiary is aware or in the exercise of reasonable diligence, should have been aware, of a relationship between the injury or death and the employment.

Section 13(a) provides in parti

Except as otherwise provided in this section, the right to compensation for disability or death under this act small be barred unless a claim therefor is filed within one year after the injury or death . . . The time for filing a claim shall not begin to run until the employee or beneficiary is aware, or by the exercise of reasonable diligence should have been aware, of the relationship between the injury or death and the employment.

In applying both Section 12 and Section 13, it is well-recognized that the Act is to be liberally construed in conformance with its beneficent and numeritarian purposes in a way which avoids harsh and incongroups results. Voris v. Eikel, 346 U.S. 328, 333 (1953): Kenneth W. Simonson v. Albuna Engine and Mathine Korks, 7 BRRS 100, 102, BRS No. 76-353 (December 13, 1977).

Section 13 was not intended to provide a technical device to release the employer and insurer from their obligations, but merely to prevent prejudice to them from the entertainment of stale claims. Incalls Shipbuilding Division, Litton Systems, Inc. v. Hollinhead, 571 F.2d 272, 275 (5th Cir. 1978). This statute of limitations was designed to insure fairness to the employer. Its purpose is to prevent the revival of stale claims where "evidence has been lout, memories have faced and witnesses have disappeared." Belton v. Traynor, 381 F.2d 82 (4th Cir. 1967).

The statute of limitations contained in Section 13 of the Act is mandatory and compliance therewith has been termed "jurisdicational." Sun Shipbuilding and Dry Dock Company v. Bownen, 507 f.2d 146 (frd Cir. 1975). Once the statute of limitations has run, the burden of proof falls upon the claimant to show an excuse for his delay in filling his claim and that the employer has not been prejudiced by the delay. La Londe v. Gulf Oil Corp., 317 f. Supp. 692 (d. D. La. 1970). Otherwise, his claim must be time-barred. Ignorance of the law does not constitute a viable excuse for filling a claim after the limitations period has expired. La Londe, supra.

is greviously noted, Section 13(a) provides that the time limitation health to run when a claiment is aware or should have them aware of a relationship between his injury and his employment. Injury is defined in Section 2(2) of the Act to include

occupational disease. See 33 U.S.C. 5902(2). Thus, the crucial question for purposes of Section 13(a) is when did Claimant become aware of a relationship between his hypertension and his employment. In Stark v. Lockheed Shipbuilding and Construction Co., 5 8885 186, 888 No. 73-253 (December 8, 1976), the Roard extensively analyzed the meaning of the term "aware" as it is used in Section 13(a) of the Act. The Board concluded that in cases of occupational disease and latent injury, "aware" means "to have received knowledge of the work-related nature of the claimant's condition from a physician or other competent diagnostician." Stark, supra, at 195. The Board further concluded that the phrase "or in the exercise of reasonable diligence should have been aware" places a duty on the claimant to seek diagnostic confirmation of the nature and cause of his condition but that this duty does not arise until claimant has reason to believe that the condition "will or may well reduce his wage earning capacity." Furthermore, once a claimant has obtained a professional diagnosis, he will be considered "aware" for purposes of Section 13(a) and the time limitation of that provision will begin to run. See Stancil v. Massey, 436 F.2d 274 (D.C. Cir. 1970); Mashington v. Cooper Stevedoring of tast Inc. v. 556 F.2d 268 (5th Cir. 1977); Aerojet-General Shippards, Inc. v.

However, the Act also sets forth an exception to Section 13(a). Section 30(a) of the Act, 33 U.S.C. 6930(a), provides that the employer must file a report containing certain specified information within ten days from the date of the injury or ten days from the date employer has knowledge of the injury. Section 30(f) of the Act, 33 U.S.C. 5930(f), provides that if the employer had knowledge of the injury, failure to file the report required by Section 30(a) will toll the Section 13(a) limitation period regardless of whether the claim would otherwise be barred. 6/

<sup>5/</sup> The date on which a claimant is informed by his doctor of the relationship between his work and his disability is significant but it is not always controlling, especially where there is other evidence that claimant was aware, or in the exercise of reasonable diligence should have been made aware, of this relationship at an earlier date. Harold Sicker, Sr. v. Huni Marine Company and State Insurance Fund, 8 BRBS 264, 272, BRB No. 77-470 (April 27, 1978): Morris W. Vaughan v. Mashington Metropolitical Area Transit Authority, 7 BRBS 264, BRB No. 76-115 (December 30, 1977).

<sup>6/</sup> An employer must know of the relationship of the employment to an injury or illness in order for the knowledge requirements of Sections 12(d) and 30(f) of the Act to be applied against the employer. Strachan Shipping Company, et al. v. Willie Davis and Director, OWCP 571 F.2d 968, 8 BRRS 161 (5th Cir. 1978).

This case does not involve an incorrect diagnosis of a physician which would, in effect, toll the statute of limitations. If the diagnosis were incorrect and the Claimant relied upon it, then the one year statute of limitations does not begin to run until the claimant "realized, or should have first realized, that his silment was job related." Sun Shippuilding and Dry Dock Company v. Bowman, 507 f.2d 46 (3rd Cir. 1975); Pillsbury v. United Engineering Co., 342 U.S. 197 (1952); Stancil Wassey, supra; Washington v. Cooper Stevedoring, supra; Bernice Jackson v. Nevy Exchange Service Center and Community Union Insurance Company, 9 BRBS 437, BRB no. 77-374 (December 22, 1978).

In view of the foregoing, I conclude and find that Claimant became "aware, or by the exercise of reasonable diligence should have been aware," based upon a professional opinion of a medical doctor on December 7, 1976 (Claimant's Exhibit 4), that he had intermittent labile hypertension and, consequently, the Section 12(a) and 13(a) time limitations started to run at that time (i.e. December 7, 1976). I further find that Claimant's claim for workmen's compensation benefits on Audust 21, 1978 was not timely filed.  $\underline{7}/$ 

However, assuming, arguendo, that the claim herein was timely filed, I will now consider whether Claimant's hypertension and diabetes mellitus are compensable disabilities under the Act.

Based upon the totality of the record, I conclude and find that Claimant's disbetes mellitus was first reported in writing by Dr. Dunn on April 13, 1978. On December 7, 1976, at which time Claimant's hypertension was first diagnosed, it was also reported that Claimant's routine laboratory examinations, ECG and EEG were normal. I also accept the diagnosis by Dr. V. Zaigraeff, on February 9, 1978, the Claimant had essential hypertension, myocardial strain, slight cirrhosis of the liver from alcohol intake and was overweight at 210 pounds (Claimant's Exhibit 7). At this time Claimant was placed on a strict diet - "no fats, no salts, no spices, no alcohol." I accept the diagnosis of Dr. Dunn that Claimant had diabetes based upon a physical examination on February 27, 1978 (Claimant's Exhibit 10). On Harch 17, 1978 Claimant advised medical personnel at Presbyterian Hospital of Dallas that he had intentionally lost twenty-two pounds while working in Israel. (Respondents' Exhi-

<sup>7/</sup> I am aware that an employee need not notify his employer of a compensable injury in accordance with Section 12(a) of the Act "until he knows or reasonably should know that he has received an injury, arising in the course of employment, that disables him from future employment." Bath Iron Works Corporation and Commercial Union Assurance Company 1. James P. Galen and Director, DWCP, 603 F.2d Say, 10 ARRS 863 (1st Cir. 1979).

bits 5-7). Therefore, I find that Claimant, while working in Israel, meight as much as 232 pounds, weight much was entirely too heavy for his bodily frame (See Claimant's Exhibit 2, a photograph of the Claimant and others in front of the Sinai Field Hission).

I accept the opinions of Dr. Robert L. North, an eminent specialist in cardiovascular and internal medicine, who was subjected to excellent, thorough and searching cross-evamination and who testified forthrightly that Claimant's intermittent hypertension and mild diabetes mellitus were not employment-related and could not be attributed to his occupation, mis diet, geographical location or work environment, that he (Dr. North) did not find any physical impairment which would preclude his return to his previous employment, that diabetes mellitus is an inherited disease, that Claimant's hypertension and mild diabetes were under control by diet and medication, that high blood pressure per as is not a disease and does not cause disability, that hypertension and diabetes become clinically apparent in middle-age years and that Claimant even if he hos remained in South Texas would have developed the same problems at the same time because "(i)n that respect, it's his depting." I wiso accept Or. North's opinion that adequate physical activity and exercise are methods used to control hypertension and diabetes mellitus. Therefore, Claimant's manual labor might actually have had a therapeutic effect, instead of the alleded debilitating or addrawation effect claimed herein.

I also accept the diagnosis that Claimant had extreme anxiety and that this condition, together with his family problems, and isolated living, the L.R.S. audit and dersonal living habits, especially the excessive use of alcohol and his obesity contributed to his hypertensive condition and diahetes mellitus.

Furthermore, it is noted that Claimant's last two attacks occurred while he was away from the Sinai Field Mission and in Tel Aviv, enjoying his week's vacation at the "R a R" facility provided by the Employer. While it may be merely coincidental, I find this to be most significant, especially in view of his admission against interest, in a medical history recort on March 17, 1978, that "he was a heavy drinker" but stopped two months ago (Respondents' Exhibit 5-7).

I also credit Mr. Kapple's testimony as to construction and functioning of the Sinai Field Mission, the nature of Clinimant's duties there, the meals provided, the climate in the Sinai and the work environment. Furthermore, I also crodit Mr. Ford's testimony as to Claimant's drinking habits while Mr. Ford was tending bar at the lounge.

I also accept Dr. Alack's opinions that Claimant's neurological examination was normal. I credit the opinions of Ar. Spitzberg that he could find no evidence of urganic disease, that he could not determine an etiology for his problems in Israel and that Claimant is in resonable health for his ace. I am well aware of the well-settled doctrine that an employer takes a workman "as is" and if the workman has a pre-existing condition which is accelerated, agoraswted or which manifests itself as the result of exertion incidental to the work required, a compensable injury results even though such exertion may not have harmed a person not suffering from the particular infirmity.

3. 8. Vozzolo, Inc. v. Britton, sucre, at 148. "Also, the aggravation of the pre-existing back condition by the 'insult' to the Claimant's back which arose out of and in the course of his employment constituted injury under the Act." John H. Rasinski v. 1.1.0. Corporation of Baltimore and Liberty Mutual Insurance Company, 7 BRBS 102, BRR No. 17-277 (February 25, 1978). I am not persuaded that the record before me contains any such evidence showing causality between Claimant's present problems and his employment. I, therefore, find that Claimant suffered no compensable injury while employed by E Systems. As the Benefits Review Board pointed out in Lengart V. Carlson v. Bethlehem Steel Corporation, S BRBS 486, 488, BRB No. 78-247 (June 20, 1978): "Claimant's employment with Rethlehem Steel Corporation, S BRBS 486, 488, BRB No. 78-247 (June 20, 1978): "Claimant's employment with Rethlehem Steel Corporation only furnished a convenient occasion to demonstrate to Claimant that his severly (sic) advanced degenerative osteo-arthritis involving both knees will no longer tolerate any further weight-supporting activity, particularly claimsing vertical ladders, compensation must be and is hereby denied."

The concept of an occupational disease is aptly expressed by the following quotation:

"It is not the intent of this statute to create a general health insurance program. The inclusion of occupational diseases is limited to maladies resulting from working conditions which are peculiar to the calling and are more hazardous than those encountered in ordinary living." Colling v. Lackland Arm, & Air Force Exchange Service, 3 ARRS 61 (ALJ) October 30, 1975

On the hasis of the totality of the medical evidence and other record evidence and having observed the demeanor of the witnesses. I find and conclude that Claimant's hypertension and diabetes mellitus were not caused, triagered, aggravated or exacerbated by his employment or the work anvironment. I further find and conclude that Claimant's hypertension and diabetes mellitus would have occurred irrespective of his employment in the Sinai and would have necurred had he remained in the United States working at an occupation not subject to the jurisdiction of the Act. The diabetes mellitus is the natural progression of the Claimant's pre-disposition. To use Dr. North's words, "it's his destiny." There is no credible evidence that Claimant's hypertension is work-related. It is probably the result of his lengthy isolation from his family, the LR.S. audit and his personal living habits.

-18-

-Although Claimant properly argues that the Act should be interpreted liberally, it is well-settled that "plain terms of the statute may not be disregarded under the guise of interpreting it liberally." Eikel v. Voris, supra, at 33% Pillsbury v. United Engineering Co., 342 U.S. 197, 200 (1952).

Based upon the totality of the record and the foregoing Findings of Fact and Conclusions of Law, it is determined that the claim must be denied. As I have ruled that Claimant has not sustained a compensable disability under the Act, his attorney is not entitled to an attorney's fee assessed against the Respondents.

## DROER

The claim for benefits under the Longshoremen's and Harbor Workers' Companyation Act, as extended by the Defense Base Act, filed by Howard R. Clymer is hereby DENIED.

Adamstrative las Judge

Dated: November JG, 1979 New Orleans, Louisians

DWD:hls

#### CERTIFICATE OF FILING AND SERVICE

ion Order was fi	hat on <u>December 5, 1979</u> the foregoing Compensa- iled in the Office of the Deputy Commissioner,
Eighth	District Office and a copy thereof was
ailed on said da	ate by certified mail to the parties and their
epresentatives a	at the last known address of each as follows:
	, 4202 Colgate, Garland, Texas 75042
Claimant	
L Systems inc	orporated, P. O. Nov 1056, Greenville, Texas 25401

A copy was also mailed by regular mail to the following:

Judges David Di Mardi , Office of Administrative Law Judges, U. S. Department of Labor, Washington, D.C. 20210

Associate Solicitor of Labor for Employee Benefits, U. S. Department of Labor, Suite N-2716, NDOL, Washington, D.C. 20210

Director, Office of Workers' Compensation Programs, (LHWCA) 'U. S. Department of Labor, Washington, D.C. 20211
Samuel Boyd, Esquire, 5744 LBJ Freeway, Dallas, Texas 75240
John William Payne, Esquire, 1700 Republic Mational Bank Bidg, Dallas, Texas

Deputy Commissioner

Eighth Compensation District

U. S. Department of Labor

EMPLOYMENT STANDARDS ADMINISTRATION

Office of Workers' Compensation

Programs

---

Form LS-19 Rev. Aug. 19



		*	OMB Approval No. 64-81;
EMPLOYEE'S CLAIM	£ 4 4 5 7 4 1	U.S. DEPARTMENT OF LAB	TW .
FOR COMPENSATION			1. Comer's No.
	middle mitel	Low	4. Care of more (Manch day) vo
Pose of person First eating class (Type or print) Howard	R.	Clymer	Manifestation of dis first reported 1/78
Claiman's sédioss (Number, stroot, eth	s, arera, ZIP medai		4. Marital status
4202 Colgate, Garland		1	Married
les L. Ago w de	to of both	Not required by feed	18. Did incury cause feet of time bayand day or shift of secretary?
Sant Crown Aug. 1	. 1929	458   34   1109	20 to -
On 4010 d   1 May began men	. b. How of secident	0 0 d year con - er	12. Days and have any shapped
Date and hear you returned to made		Itle: langulare worker,	13. Injured while some repular world
Still off : indefinite		ing Mechanic	(16 "No." explore in from 24)
. Magas or servings when di. Mostly in Total servings during year intredigtaly injured (fine lude seath in the lude seat			17. Her 3rd party or other claim took
ries allessees, etc.)   1		80.00	To thi
Number of years you worked 15. Number for this market you	of days excelly worked	38. Name of supervisor at time of	esiant claim
Sinai Buffer 7:  Describe a hell has the eccesar external injured use sing as the line of the se relead and self bour they were inserted in special, continues an exercise. On  Neiv Israel at which time sufficiency. April 1:  197  Manus of injury (Name part of last by  body offected-free horse (of  log, budged right blooms,  on, If there was a less or  Unk  less of your of pour of the	his condition ::	nr. Clymer Visited or	. Chaim reinman im iei
may percial attention. 1	nsurance carrie	10 B - D'- P.	once returned to U
issidtance without cause i	n March, 1978.	- ,	Term Com employ
See such resource periods by septemp?  (E) Yes - thru 3/7	m March, 1978.	54 to (2 to	
See such resource periods by septemp?  (E) Yes - thru 3/7	n March, 1978.	21. Sin name of the 21. Sin 12. Sin 12	
lasiátanch vithbút cause (.  Ses such resumes pereind by enginer (	m Märch, 1978.  Pl. Are you still thee showed may distribut despise reversa.)	District of the St.	Eros has employ
Issistance vithout cause in	Mirch, 1978.  18. Are one soil these many deserved does no reverse.  ation	To the state of th	Eros has employ